

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Shek Fai Lau
Appl. No.: Division of 10/625,401
Filed: September 15, 2003
Title: Electro-Kinetic Air Transporter and Conditioner
Devices with Features that Compensate for Variations
in Line Voltages

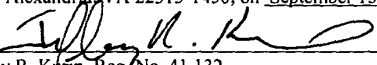
PATENT APPLICATION

Art Unit: Unknown
Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on September 15, 2003.

 (Attorney Signature)
Jeffrey R. Kurn, Reg. No. 41,132
Signature Date: September 15, 2003

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ☒ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ☐ The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.
- ☒ The present application is being/was filed after June 30, 2003. In accordance with the pre-Official Gazette waiver of 37 CFR 1.98 (a)(2)(i) posted at pac/dapp/opla/preognotice/idswouscopies.htm, copies of cited U.S. patents and publications are not enclosed. Additionally, all cited foreign patent documents and non-patent literature are not enclosed because they were previously submitted in an Information Disclosure Statement by applicant in a parent application (see legend at end of Form PTO-1449), from which benefit under 35 U.S.C. §120 is claimed, which Information Disclosure Statement complies with the September 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c), as allowed under 37 C.F.R. §1.98(d)(1).

This statement should be considered because:

☒ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

☐ **37 C.F.R. §1.97(c).** Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- ☐ (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e).
-- OR --
- ☐ (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

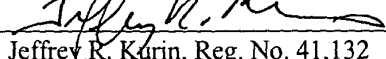
☐ **37 C.F.R. §1.97(d).** Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;
-- AND --
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
-- AND --
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: September 15, 2003

By: 
Jeffrey R. Kurin, Reg. No. 41,132

Fliesler Dubb Meyer & Lovejoy LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
415/362-3800 Telephone

FORM PTO-1449 (Substitute)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number	Serial No.	
			SHPR-01361USD		Division of 10/625,401
			Applicant		Shek Fai Lau
INFORMATION DISCLOSURE CITATION BY APPLICANT			Filing Date	Group Art Unit	
			September 15, 2003	Unknown	

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	21.	4,232,355 A	11/4/1980	Finger et al.			1/8/1979
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			September 15, 2003	Unknown

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FORM PTO-1449 (Substitute)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number	Serial No.
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		September 15, 2003	Unknown

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	Applicant Shek Fai Lau			
	Filing Date September 15, 2003		Group Art Unit Unknown	

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FORM PTO-1449 (Substitute)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number SHPR-01361USD	Serial No. Division of 10/625,401
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	Applicant Shek Fai Lau		Filing Date September 15, 2003	

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Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
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			September 15, 2003	Unknown	

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PENDING U.S. PATENT APPLICATIONS

Examiner Initial		Application Number	Filing Date	Inventor	Petition to Expunge	
					Yes	No
	162.	09/669,253	9/25/2000	Taylor et al.		X
	163.	09/924,600	8/8/2001	Taylor et al.		X
	164.	10/074,096	2/12/2002	Taylor et al.		X
	165.	10/074,347	2/12/2002	Taylor et al.		X
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	167.	10/278,193	10/21/2002	Reeves		X
	168.	10/405,193	4/1/2003	Taylor		X
	169.					
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FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Publication Date	Country	Translation	
					Yes	No
	171.	EP 0433152 A1	12/10/1990	Europe		✓
	172.	WO00/10713 A1	3/2/2000	International	✓	

FORM PTO-1449 (Substitute)	US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number SHPR-01361USD	Serial No. Division of 10/625,401
	INFORMATION DISCLOSURE CITATION BY APPLICANT			
	Applicant Shek Fai Lau		Filing Date September 15, 2003	

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	189.	"Zenion Elf Device," drawing, prior art.
	190.	Electrical schematic and promotional material available from Zenion Industries, 7 pages, August 1990.
	191.	Promotional material available from Zenion Industries for the Plasma-Pure 100/200/300, 2 pages, August 1990.
	192.	Promotional material available from Zenion Industries for the Plasma-Tron, 2 pages, August 1990.
	193.	LENTEK Silā™ Plug-In Air Purifier/Deodorizer product box copyrighted 1999, 13 pages.
	194.	
	195.	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Legend: Copies not submitted because they were submitted in prior application 10/625,401, filed July 23, 2003, relied on under 35 USC §120.